

VIA eFILE
Form PTO-1449

Applicant: Christy Cummins, et al.
Serial No.: 10/517,004
Filing Date: June 6, 2005
For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 1 of 17
Confirmation No.: 8672
Att'y Docket No.: 16497.124
Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____1	5,167,634	12/01/1992	Corrigan, Jr. et al.
____2	5,243,857	02/28/1995	Velez
____3	5,246,156	09/21/1993	Rothfuss et al.
____4	5,392,978	02/28/1995	Valez et al.
____5	5,413,584	05/09/1995	Schulze
____6	5,423,857	06/13/1995	Rosenman et al.
____7	5,676,974	10/14/1997	Valdes et al.
____8	5,810,776	09/22/1998	Bacich et al.
____9	5,904,697	05/18/1999	Gifford, III et al.
____10	5,993,468	11/30/1999	Rygaard
____11	6,030,364	02/29/2000	Durgin et al.
____12	6,428,548	08/06/2002	Durgin et al.

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____13	6,645,205	11/11/2003	Ginn
____14	6,669,714	12/30/2003	Coleman et al.
____15	6,749,621	06/15/2004	Pantages et al.
____16	6,942,674	09/13/2005	Belef et al.
____17	7,001,398	02/21/2006	Carley et al.
____18	7,108,709	09/19/2006	Cummins
____19	7,111,768	09/26/2006	Cummins et al.
____20	7,163,551	01/16/2007	Anthony et al.
____21	7,211,101	05/01/2007	Carley et al.
____22	2001/0007077	07/05/2001	Ginn et al.
____23	2001/0031972	10/18/2001	Robertson et al.
____24	2001/0047180	11/29/2001	Grudem et al.
____25	2002/0026208	02/28/2002	Belef
____26	2002/0026215	02/28/2002	Redmond et al.

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____27	2002/0049427	04/25/2002	Wiener et al.
____28	2002/0049472	04/25/2002	Coleman et al.
____29	2002/0072768	06/13/2002	Ginn
____30	2002/0077657	06/20/2002	Ginn et al.
____31	2002/0082641	06/27/2002	Ginn et al.
____32	2002/0107542	08/08/2002	Kanner et al.
____33	2002/0133193	05/17/2002	Ginn et al.
____34	2002/0151921	10/17/2002	Kanner et al.
____35	2002/0193808	12/19/2002	Belef et al.
____36	2003/0004543	01/02/2003	Gleeson et al.
____37	2003/0009180	01/09/2003	Hinchliffe et al.
____38	2003/0078598	04/24/2003	Ginn et al.
____39	2003/0093096	05/15/2003	McGuckin et al.
____40	2003/0097140	05/22/2003	Kanner

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____41	2003/0109890	06/12/2003	Kanner et al.
____42	2003/0125766	07/03/2003	Ding
____43	2003/0158578	08/21/2003	Pantages et al.
____44	2003/0195561	10/16/2003	Carley et al.
____45	2004/0167570	08/26/2004	Pantages
____46	2005/0090859	04/28/2005	Ravlkumar
____47	RE 31,855	03/26/1985	Osborne
____48	RE 34,866	02/21/1995	Kensey et al.

U.S. Patent Applications

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Filed Date</u>
____49	09/866,551	05/25/2001
____50	11/396,141	03/31/2006
____51	11/675,462	02/15/2007
____52	11/744,089	05/03/2007

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Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____53	CA 2 339 060	02/17/2000	Canada
____54	NL 9302140	07/03/1995	Netherlands
____55	S 2000/0722	10/04/2001	Ireland
____56	S 2000/0724	10/04/2001	Ireland
____57	S 2001/0547	07/10/2002	Ireland
____58	S 2001/0748	08/28/2002	Ireland
____59	S 2001/0749	08/28/2002	Ireland
____60	S 2001/0815	07/10/2002	Ireland
____61	S 2002/0451	07/03/2003	Ireland
____62	S 2002/0664	02/19/2003	Ireland
____63	S 2002/0665	02/19/2003	Ireland
____64	S 2003/0424	12/10/2003	Ireland
____65	S 2003/0490	01/14/2004	Ireland

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Examiner <u>Initial*</u>	Document <u>Number</u>	<u>Publication Date</u>	Country or <u>Patent Office</u>
____66	S 2004/0368	11/30/2005	Ireland
____67	S 2005/0342	11/30/2005	Ireland
____68	WO 98/06448	02/19/1998	PCT
____69	WO 00/06029	02/10/2000	PCT
____70	WO 00/07640	02/17/2000	PCT
____71	WO 00/56223	09/28/2000	PCT
____72	WO 00/56227	09/28/2000	PCT
____73	WO 00/56228	09/28/2000	PCT
____74	WO 00/71032	11/30/2000	PCT
____75	WO 01/35832	05/25/2001	PCT
____76	WO 01/49186	07/12/2001	PCT
____77	WO 01/91628	12/06/2001	PCT
____78	WO 03/13364	02/20/2003	PCT
____79	WO 03/47434	06/12/2003	PCT

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____ 80	WO 03/71955	09/04/2003	PCT
____ 81	WO 04/04578	01/15/2004	PCT
____ 82	WO 04/60169	07/22/2004	PCT
____ 83	WO 04/69054	08/19/2004	PCT
____ 84	WO 05/115521	12/08/2005	PCT
____ 85	WO 05/82256	09/09/2005	PCT
____ 86	WO 06/83889	08/10/2006	PCT

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Issue Notifications, Notices of Allowance, and Office Actions

<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
____87	2002/0072768	Office Action	08/27/2004
____88	2002/0072768	Office Action	02/23/2005
____89	2002/0072768	Office Action	04/11/2005
____90	2002/0072768	Office Action	07/27/2005
____91	2002/0072768	Office Action	03/06/2006
____92	2002/0072768	Office Action	05/24/2006
____93	2002/0072768	Office Action	10/26/2006
____94	2002/0072768	Office Action	04/19/2007
____95	2002/0133193	Office Action	11/04/2004
____96	2002/0133193	Office Action	05/04/2005
____97	2002/0133193	Office Action	10/18/2005
____98	2002/0133193	Notice of Allowance	04/18/2007
____99	2002/0133193	Notice of Allowance	09/27/2007

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____100	2003/0078598	Office Action	02/09/2005
____101	2003/0078598	Office Action	05/26/2005
____102	2003/0078598	Office Action	10/04/2005
____103	2003/0078598	Notice of Allowance	05/10/2006
____104	2003/0078598	Notice of Allowance	07/02/2007
____105	2003/0195561	Office Action	06/10/2004
____106	2003/0195561	Notice of Allowance	09/21/2004
____107	2003/0195561	Office Action	01/03/2006
____108	2003/0195561	Issue Notification	02/15/2006
____109	2003/0195561	Office Action	05/16/2006
____110	2003/0195561	Notice of Allowance	12/28/2006
____111	2003/0195561	Notice of Allowance	07/10/2007
____112	2003/0195561	Notice of Allowance	08/02/2007
____113	2004/0153123	Office Action	09/22/2006

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
____114	2004/0153123	Office Action	01/31/2007
____115	2004/0153123	Office Action	09/18/2007
____116	2004/0153122	Office Action	11/30/2005
____117	2004/0153122	Office Action	08/23/2006
____118	2004/0153122	Office Action	02/13/2007
____119	2004/0153122	Office Action	09/12/2007
____120	2004/0073255	Office Action	09/15/2006
____121	2004/0073255	Office Action	04/18/2007
____122	2004/0073236	Office Action	09/19/2006
____123	2004/0073236	Office Action	05/02/2007
____124	2004/0009289	Office Action	06/30/2006
____125	2004/0009289	Office Action	10/20/2006
____126	2004/0009289	Office Action	05/29/2007
____127	2004/0167570	Office Action	10/30/2006

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<u>Examiner</u> <u>Initial*</u>	<u>Patent or Serial</u> <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
____128	2004/0167570	Office Action	04/17/2007
____129	2004/0167570	Office Action	08/31/2007
____130	2005/0274768	Office Action	10/19/2006
____131	2005/0274768	Office Action	08/10/2007
____132	2005/0216057	Office Action	02/06/2007
____133	2005/0216057	Office Action	05/30/2007
____134	2006/0135989	Office Action	11/30/2006
____135	2006/0135989	Office Action	09/05/2007
____136	2006/0195124	Office Action	06/06/2007
____137	2006/0195123	Office Action	05/14/2007
____138	6,197,042	Notice of Allowance	11/06/2000
____139	6,197,042	Issue Notification	02/15/2001
____140	6,277,140	Office Action	03/26/2001
____141	6,277,140	Notice of Allowance	06/04/2001

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
____142	6,277,140	Issue Notification	08/06/2001
____143	6,391,048	Notice of Allowance	03/26/2001
____144	6,391,048	Office Action	09/05/2001
____145	6,391,048	Notice of Allowance	02/11/2002
____146	6,391,048	Issue Notification	05/03/2002
____147	6,461,364	Notice of Allowance	05/06/2002
____148	6,461,364	Issue Notification	09/19/2002
____149	6,582,452	Notice of Allowance	01/31/2003
____150	6,582,452	Issue Notification	06/05/2003
____151	6,616,686	Office Action	12/17/2002
____152	6,616,686	Notice of Allowance	04/21/2003
____153	6,616,686	Issue Notification	08/21/2003
____154	6,623,510	Notice of Allowance	04/11/2003
____155	6,623,510	Office Action	06/09/2003

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
____156	6,623,510	Issue Notification	09/04/2003
____157	6,632,238	Office Action	02/26/2003
____158	6,632,238	Notice of Allowance	06/16/2003
____159	6,632,238	Issue Notification	09/25/2003
____160	6,669,714	Office Action	03/04/2003
____161	6,669,714	Notice of Allowance	07/28/2003
____162	6,669,714	Issue Notification	12/11/2003
____163	6,695,867	Notice of Allowance	09/29/2003
____164	6,695,867	Issue Notification	02/05/2004
____165	6,719,777	Office Action	02/20/1987
____166	6,719,777	Notice of Allowance	07/24/1987
____167	6,719,777	Issue Notification	03/25/2004
____168	6,749,621	Notice of Allowance	02/09/2004
____169	6,749,621	Office Action	04/13/2004

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____170	6,749,621	Issue Notification	05/27/2004
____171	6,780,197	Office Action	09/11/2003
____172	6,780,197	Office Action	02/09/2004
____173	6,780,197	Notice of Allowance	03/17/2004
____174	6,780,197	Issue Notification	08/05/2004
____175	6,926,731	Office Action	11/16/2004
____176	6,926,731	Notice of Allowance	04/06/2005
____177	6,926,731	Issue Notification	07/20/2005
____178	6,942,674	Office Action	09/29/2004
____179	6,942,674	Notice of Allowance	05/13/2005
____180	6,942,674	Issue Notification	08/24/2005
____181	7,001,398	Office Action	03/22/2005
____182	7,001,398	Notice of Allowance	07/06/2005
____183	7,001,398	Notice of Allowance	10/05/2005

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____184	7,001,398	Issue Notification	02/21/2006
____185	7,008,435	Office Action	04/20/2005
____186	7,008,435	Office Action	08/10/2005
____187	7,008,435	Notice of Allowance	10/18/2005
____188	7,008,435	Issue Notification	02/15/2006
____189	7,108,709	Office Action	07/27/2004
____190	7,108,709	Office Action	12/17/2004
____191	7,108,709	Notice of Allowance	03/09/2005
____192	7,108,709	Office Action	08/11/2006
____193	7,108,709	Issue Notification	08/30/2006
____194	7,111,768	Office Action	02/23/2006
____195	7,111,768	Notice of Allowance	05/31/2006
____196	7,111,768	Issue Notification	09/06/2006
____197	7,163,551	Office Action	01/10/2006

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Examiner <u>Initial</u> *	Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
____198	7,163,551	Notice of Allowance	09/20/2006
____199	7,163,551	Issue Notification	12/27/2006
____200	7,211,101	Office Action	08/10/2005
____201	7,211,101	Office Action	12/19/2005
____202	7,211,101	Office Action	04/21/2006
____203	7,211,101	Notice of Allowance	12/27/2006
____204	7,211,101	Issue Notification	04/11/2007

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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